



THOMAS FINANCIAL SERVICES

Prepared for:

John & Jane Smith
5895 Gable Cove Dr
Anytown, PA 45434-4343



THOMAS FINANCIAL SERVICES

Quarterly Statement

Household: John & Jane Smith
 Period: 7/1/2013 to 9/30/2013
 Financial Advisor: Joe Advisor

2 Accounts Included in this Report							Total Value: \$797,007.45
Registration Name	Registration Type	Account Number	Market Value 7/1/2013	Contributions	Withdrawals	Investment Gain/Loss	Market Value 9/30/2013
John Smith	Individual Retirement Account	*****1357	\$491,816.85	\$0.79	(\$3,980.00)	\$13,578.58	\$501,416.22
Jane Smith	Individual Retirement Account	*****4646	\$292,880.50	\$86.31	(\$3,510.00)	\$6,134.42	\$295,591.23

Activity Summary		Returns are Net of Fees ** The first 12 month returns are not annualized		Allocation Summary																									
	QTD (7/1/2013 to 9/30/2013)	YTD (6/3/2013 to 9/30/2013)			9/30/2013																								
Beginning Market Value	\$784,697.35	\$0.00	<table border="1"> <thead> <tr> <th>Asset Class</th> <th>9/30/2013</th> </tr> </thead> <tbody> <tr><td>Large Core</td><td>25.6 %</td></tr> <tr><td>Small Value</td><td>17.0 %</td></tr> <tr><td>Large Value</td><td>13.6 %</td></tr> <tr><td>Mid Value</td><td>9.6 %</td></tr> <tr><td>Large Growth</td><td>8.1 %</td></tr> <tr><td>Mid Growth</td><td>5.9 %</td></tr> <tr><td>Specialty Diversified Equity Funds</td><td>5.3 %</td></tr> <tr><td>ETF</td><td>4.9 %</td></tr> <tr><td>Cash or Equivalent</td><td>4.4 %</td></tr> <tr><td>Gold Oriented Funds</td><td>4.2 %</td></tr> <tr><td>Other</td><td>1.5 %</td></tr> </tbody> </table>			Asset Class	9/30/2013	Large Core	25.6 %	Small Value	17.0 %	Large Value	13.6 %	Mid Value	9.6 %	Large Growth	8.1 %	Mid Growth	5.9 %	Specialty Diversified Equity Funds	5.3 %	ETF	4.9 %	Cash or Equivalent	4.4 %	Gold Oriented Funds	4.2 %	Other	1.5 %
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Contributions	\$0.00	\$0.00																											
Distributions	(\$7,490.00)	(\$8,790.00)																											
Transfer In/Out	\$87.10	\$799,951.58																											
Dividends and Interest	\$6,001.07	\$7,742.78																											
Market Value Increase/Decrease	\$13,711.93	(\$1,896.91)																											
Ending Market Value	\$797,007.45	\$797,007.45																											
Net Performance	2.55 %	0.37 %																											

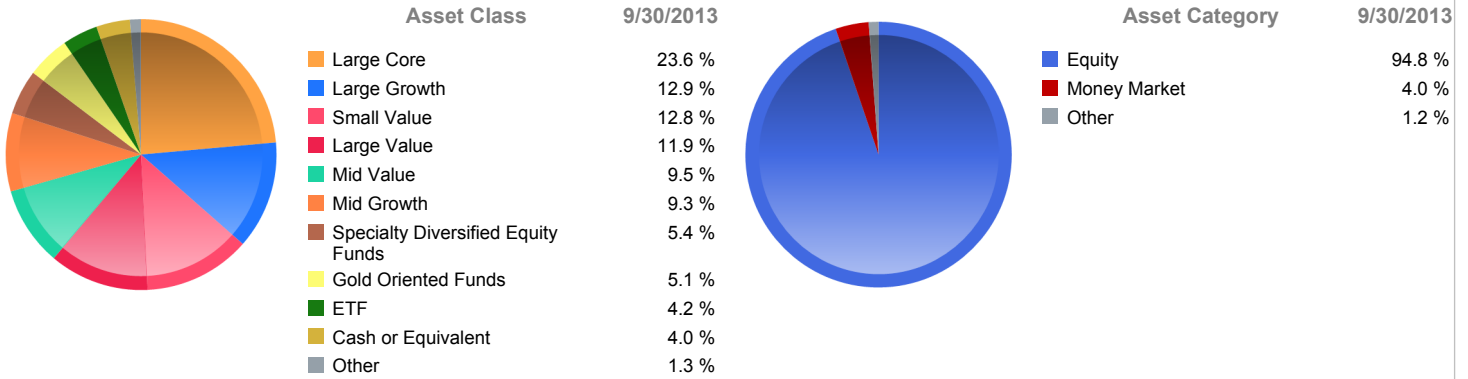
Account: John Smith (Individual Retirement Account), Pershing Advisor Solutions

Quick Summary for the period 7/1/2013 to 9/30/2013

Returns are Net of Fees
* The first 12 month returns are not annualized

Beginning Value:	\$491,816.85	Period Contributions:	\$0.00	Time-Weighted Return:	2.80 %
Ending Value:	\$501,416.22	Period Distributions:	(\$3,980.00)	Summit Moderate Benchmark	2.64 %
Net Change Value:	\$9,599.37	Transfers In/Out:	\$0.79	<i>You cannot invest directly in an index.</i>	

Portfolio Composition



Performance Summary for the period 7/1/2013 to 9/30/2013

Returns are Net of Fees
* The first 12 month returns are not annualized

	Account Number	Beginning Value	Ending Value	Time-Weighted Return
John Smith \ Pershing Advisor Solutions \ IRA				
■ Pershing Advisor Solutions (Summit Moderate, Inception Date: 6/3/2013)				
Greenspring Fund	*****1357	\$0.00	\$47,210.77	6.45 %
Villere Balanced	*****1357	\$0.00	\$46,881.39	4.18 %
Weitz Balanced	*****1357	\$0.00	\$35,719.00	2.05 %
Northern Income Equity	*****1357	\$29,083.05	\$30,199.33	4.23 %
PIMCO Fundamental IndexPLUS AR P	*****1357	\$0.00	\$26,858.25	4.23 %
T Rowe Price Capital Appreciation	*****1357	\$25,010.20	\$26,131.73	4.48 %
SPDR Gold Trust	*****1357	\$23,822.00	\$25,636.00	7.61 %
iShares Russell 3000 Index	*****1357	\$0.00	\$22,250.80	3.27 %
PIMCO All Asset All Authority - Instl	*****1357	\$0.00	\$22,238.23	2.63 %
Comcast Corp A	*****1357	\$20,207.00	\$21,835.66	8.53 %
Enterprise Products Partners LP	*****1357	\$21,752.50	\$21,364.00	-0.70 %
JPMorgan Alerian MLP Index	*****1357	\$22,154.00	\$21,185.00	-3.17 %
Pershing Cash Account	*****1357	\$74,293.39	\$21,115.27	
Plains All American Pipeline Ltd Partnership	*****1357	\$22,324.00	\$21,064.00	-4.60 %
Powershares Exchange Traded FD TR Dynamic	*****1357	\$19,148.35	\$19,844.50	4.51 %
Berkshire Hathaway CI B	*****1357	\$16,788.00	\$17,026.50	1.42 %
Northwest Natural Gas Co	*****1357	\$16,992.00	\$16,792.00	-0.11 %
iShares Dow Jones EPAC Select Dividend Index	*****1357	\$0.00	\$10,878.00	-1.81 %
Spectra Energy Corp	*****1357	\$9,958.94	\$9,892.47	0.25 %
Suncor Energy Inc	*****1357	\$7,549.44	\$9,159.68	21.98 %
Yum! Brands Inc	*****1357	\$6,934.00	\$7,139.00	3.42 %
DTE Energy Company	*****1357	\$6,701.00	\$6,598.00	-0.61 %

Performance Summary for the period 7/1/2013 to 9/30/2013				Returns are Net of Fees * The first 12 month returns are not annualized	
	Account Number	Beginning Value	Ending Value	Time-Weighted Return	
Cenovous Energy Inc W/I	*****1357	\$5,704.00	\$5,970.00	5.54 %	
AT&T Inc	*****1357	\$5,487.00	\$5,242.10	-3.26 %	
Encana Corp	*****1357	\$3,388.00	\$3,466.00	3.48 %	
United States Nat Gas Fd LP Unit	*****1357	\$663.25	\$639.80	-3.54 %	
Neuberger Berman High Inc Bond	*****1357	\$36,729.72	\$0.00	0.74 %	
Loomis Sayles A Invest Grade Bond	*****1357	\$35,316.09	\$0.00	0.11 %	
Matthews Asia Dividend - Inv	*****1357	\$22,907.15	\$0.00	1.06 %	
Morgan Stanley Dep Pfd A	*****1357	\$10,725.00	\$0.00	-5.75 %	
PIMCO D All Asset All Authority	*****1357	\$32,015.26	\$0.00	-0.98 %	
PIMCO Fundamental IndexPLUS AR D	*****1357	\$15,954.78	\$0.00	1.26 %	
US Dollars	*****1357	\$208.73	(\$921.26)		
Account Total:		\$491,816.85	\$501,416.22	2.80 %	

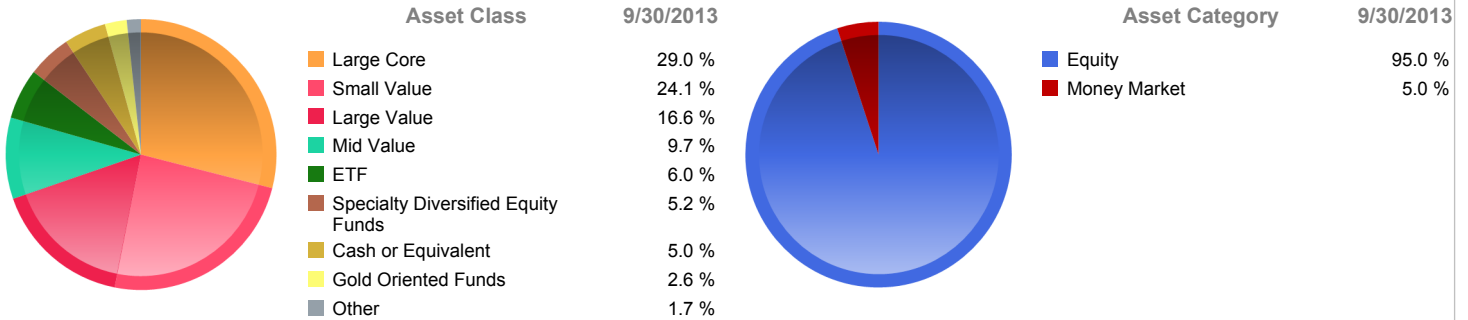
Account: Jane Smith (Individual Retirement Account), Pershing Advisor Solutions

Quick Summary for the period 7/1/2013 to 9/30/2013

Returns are Net of Fees
* The first 12 month returns are not annualized

Beginning Value:	\$292,880.50	Period Contributions:	\$0.00	Time-Weighted Return:	2.15 %
Ending Value:	\$295,591.23	Period Distributions:	(\$3,510.00)	Summit Moderate Benchmark	2.64 %
Net Change Value:	\$2,710.73	Transfers In/Out:	\$86.31	<i>You cannot invest directly in an index.</i>	

Portfolio Composition



Performance Summary for the period 7/1/2013 to 9/30/2013

Returns are Net of Fees
* The first 12 month returns are not annualized

	Account Number	Beginning Value	Ending Value	Time-Weighted Return
Jane Smith \ Pershing Advisor Solutions \ IRA				
■ Pershing Advisor Solutions (Summit Moderate, Inception Date: 6/5/2013)				
Greenspring Fund	****4646	\$0.00	\$62,947.73	6.45 %
T Rowe Price Capital Appreciation	****4646	\$40,015.08	\$41,809.48	4.48 %
Northern Income Equity	****4646	\$29,877.14	\$31,023.90	4.23 %
Plains All American Pipeline Ltd Partnership	****4646	\$22,324.00	\$21,064.00	-4.60 %
Enterprise Products Partners LP	****4646	\$18,645.00	\$18,312.00	-0.70 %
JPMorgan Alerian MLP Index	****4646	\$18,656.00	\$17,840.00	-3.17 %
PIMCO Fundamental IndexPLUS AR P	****4646	\$0.00	\$15,454.50	4.23 %
iShares Russell 3000 Index	****4646	\$0.00	\$15,171.00	5.79 %
Pershing Cash Account	****4646	\$47,586.54	\$15,001.62	
PIMCO All Asset All Authority - Instl	****4646	\$0.00	\$10,408.53	2.63 %
iShares Dow Jones EPAC Select Dividend Index	****4646	\$0.00	\$9,971.50	-1.81 %
Northwest Natural Gas Co	****4646	\$8,496.00	\$8,396.00	-0.11 %
NextEra Energy Inc	****4646	\$8,148.00	\$8,016.00	-0.80 %
SPDR Gold Trust	****4646	\$7,146.60	\$7,690.80	7.61 %
Brookfield Infrastructure Partners	****4646	\$7,304.00	\$7,604.00	5.28 %
BioMed Realty Trust Inc	****4646	\$5,057.50	\$4,647.50	-7.08 %
United States Nat Gas Fd LP Unit	****4646	\$379.00	\$365.60	-3.54 %
Neuberger Berman High Inc Bond	****4646	\$11,653.96	\$0.00	0.74 %
Templeton Global Bond - Adv	****4646	\$8,151.54	\$0.00	0.46 %
Matthews Asia Dividend - Inv	****4646	\$22,907.15	\$0.00	1.26 %
Morgan Stanley Dep Pfd A	****4646	\$10,725.00	\$0.00	-5.60 %
PIMCO D All Asset All Authority	****4646	\$18,294.43	\$0.00	-0.98 %
PIMCO Fundamental IndexPLUS AR D	****4646	\$7,445.56	\$0.00	1.26 %

Performance Summary for the period 7/1/2013 to 9/30/2013		Returns are Net of Fees * The first 12 month returns are not annualized		
	Account Number	Beginning Value	Ending Value	Time-Weighted Return
US Dollars	****4646	\$68.00	(\$132.93)	
Account Total:		\$292,880.50	\$295,591.23	2.15 %

Important Disclaimers

The information in this report is from sources believed to be reliable and is not a guarantee of positions owned or of the value of positions shown. Positions in the account are valued as of trade date and may differ from the values in account statements sent by the custodian. This report is prepared solely for informational purposes and is not intended to be an offer to buy or sell any security. This report should not be relied upon for tax or legal purposes. The inception date listed on this report is either the date the account was opened at the custodian, or the date SCF Investment Advisors, Inc. began calculating performance for the account. For previous performance history if any, please refer to account statements sent by the custodian prior to the inception date. Past performance is not indicative of future performance. Rates of return are annualized when presented in time periods greater than one year, and are net of fees. Securities do not offer a fixed rate of return or risk. When sold, redeemed, or matured, they may be worth more or less than originally invested. SCF Investment Advisors, Inc. reasonably believes that you will receive quarterly account statements from your custodian identifying the account holdings and their value, as well as any transactions in the account during the covered statement period. Please review this report along with account statements sent to you by your custodian. The custodian does not verify the accuracy of the fee calculation so please review the advisory fee charged.