SCF SECURITIES, INC. - Held NMS Stocks and Options Order Routing Public Report Generated on Thu Oct 14 2021 09:05:57 GMT-4 EDT

3rd Quarter, 2021

July 2021

S&P 500 Stocks

Summary

Total Non-Directed	Orders as % of All		Marketable Limit Orders as % of Non-	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
540	100.00	86.11	4.81	7.22	1.85

venues								-			-				
Venue - Non- directed Order Flow	Non-Directed Orders		on-Directed rders(%)		Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD	Market Orders(cents per	Net Payment Paid/Received for Marketable Limit	Marketable Limit Orders(cents per	Net Payment Paid/Received for Non-Marketable	Limit Orders(cents	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities															
LLC(CDRG)	2.	56	39.20	49.89	59.26	2.00	45.45		0		0		0	(0
VIRTU Americas,															
LLC(NITE)	13	39	21.29	27.74	11.11	1.33	45.45		0		0)	o o	(0
NASDAQ Execution Services, LLC(NSDQ)		94	14.40	0.00	0.00	0 62.67	0.00		0 0		O) (0		0 0
JANE STREET CAPITAL, LLC(JNST)		84	12.86	16.56	14.83	1 2.00	0.00		0		0) (0		0 0
New York Stock Exchange(NYSE)		34	5.21	0.00	3.70	22.00	0.00		0 () (0) (0	(0 0
Cboe EDGX US Equities Exchange(EDGX)	:	15	2.30	0.00	0.00	10.00	0.00		0		0)) c		0 0
Two Sigma Securities, LLC(SOHO)		12	1.84	2.37	3.70	0.00	0.00		0		0		0		0 0
G1 Execution Services,															
LLC(ETMM)	:	10	1.53	1.72	7.41	1 0.00	0.00		0) (0)	0	(0
UBS Securities, LLC(UBSS)		8	1.23	1.72	0.00	0.00	0.00		0 (0) ((0 0
Instinet BlockCross(BLKX)		1	0.15	0.00	0.00	0.00	9.09		0 () (0				0 0

Non-S&P 500 Stocks

Summary

I					Non-Marketable	
ı		Non-Directed	Market Orders as %	Marketable Limit	Limit Orders as % of	Other Orders as %
Total Non-Directed		Orders as % of All	of Non-Directed	Orders as % of Non-	Non-Directed	of Non-Directed
ı	Orders	Orders	Orders	Directed Orders	Orders	Orders
I	1,507	100.00	79.76	7.56	7.90	4.78

Venues														
Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD	Market Orders(cents per	Net Payment Paid/Received for Marketable Limit	Marketable Limit Orders(cents per	Net Payment Paid/Received for Non-Marketable	Limit Orders(cents	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities							-			-		-		
LLC(CDRG)	67	6 40.60	48.59	9 60.1	7 4.81	10.67	,	0				0)	0
VIRTU Americas,														
LLC(NITE)	40	0 24.02	28.95	16.9	2.96	32.00		0				0		0
JANE STREET CAPITAL, LLC(JNST)	19	6 11.77	7 14.8:	1 11.80	6 1.48	0.00		0				0		0
, <u>LLO()</u>	13	11.77	14.0.		1.40	0.00		`					,	
NASDAQ Execution Services, LLC(NSDQ)	11	2 6.73	3 0.00	0 2.5	4 40.37	0.00		0))	0		0 0
New York Stock														
Exchange(NYSE)	7	8 4.68	0.00	0 2.54	4 27.78	0.00)	0) ())	0)	0
UBS Securities, LLC(UBSS)	6	5 3.90	2.00	0 0.8	5 0.00	53.33	1	0) () () (0)	0 0
Cboe EDGX US Equities														
Exchange(EDGX)	5	1 3.06	0.00	0.00	18.89	0.00)	0 (0	0) (0)	0 0
G1 Execution Services, LLC(ETMM)	3	9 2.34	3.00	0 2.54	4 0.00	0.00)	0))	0)	0 0
Two Sigma Securities, LLC(SOHO)	2	6 2.16	5 2.66	6 2.5	4 0.37	0.00		0						0
Cboe BZX U.S. Equities	3	2.10	2.00	2.3	0.57	0.00			,	,	,	, u		
Exchange(BATS)		5 0.30	0.00	0.00	1.85	0.00)	0))	0)	0

July 2021

Option Contracts

Summary

				Non-Marketable	
	Non-Directed	Market Orders as %	Marketable Limit	Limit Orders as % of	Other Orders as %
Total Non-Directed	Orders as % of All	of Non-Directed	Orders as % of Non-	Non-Directed	of Non-Directed
Orders	Orders	Orders	Directed Orders	Orders	Orders
73	100.00	68.49	5.48	26.03	0.00

venues														
Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders(%)		Non-Marketable Limit Orders(%)		Net Payment	Market Orders(cents per	Net Payment Paid/Received for Marketable Limit	Marketable Limit Orders(cents per		Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities														
LLC(CDRG)	3	46.	46.00	75.00	42.11	0.00						0	0	0
Susquehanna														
Capital														
Group(SUSQ)	2	20 27.	10 38.00	25.00	0.00	0.00	О	C) () (0	0 0	0	0
Wolverine														
Execution Services														
LLC(WEXX)	1	.0 13.	70 6.00	0.00	36.84	0.00	0	())	0	0	0	0
Dash Financial														
Technologies														
LLC(DASH)		9 12.	10.00	0.00	21.05	0.00	0	(o l	0	0	0

August 2021

S&P 500 Stocks

Summary

					Non-Marketable	
		Non-Directed	Market Orders as %	Marketable Limit	Limit Orders as % of	Other Orders as %
Tota	al Non-Directed	Orders as % of All	of Non-Directed	Orders as % of Non-	Non-Directed	of Non-Directed
Ord	lers	Orders	Orders	Directed Orders	Orders	Orders
	413	100.00	77.97	6.30	13.32	2.42

Venues														
Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)		Net Payment Paid/Received for Market Orders(USD	Market Orders(cents per	Net Payment Paid/Received for Marketable Limit	Marketable Limit Orders(cents per	Net Payment Paid/Received for Non-Marketable		Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities														
LLC(CDRG)	187	27.66	49.38	30.77	5.66	20.00	(0	0	() c			0
NASDAQ Execution Services, LLC(NSDQ)	141	. 20.86	5 0.00	3.89	5 44.03	0.00								
New York Stock	141	20.80	0.00	3.6.	44.03	0.00	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		1		1) 	,	0
Exchange(NYSE)	133	19.67	0.00	0.00	41.82	0.00								0
VIRTU Americas,	150	15.07	0.00	0.00	71.02	0.00	<u> </u>		, , ,		1	1	<u>'</u>	
LLC(NITE)	112	16.57	28.57	7 26.92	2 1.57	80.00	(0 (0	C) c			0 0
JANE STREET CAPITAL, LLC(JNST)	57	8.43	3 15.53	3 19.23	0.63	0.00		0 0	0	C				0 0
Cboe EDGX US														
Equities														
Exchange(EDGX)	17	2.51	0.00	0.00	5.35	0.00	(UJ (0	() <u>C</u>))	0
Two Sigma Securities, LLC(SOHO)	13	1.78	3 2.48	3 11.5 ₄	1 0.31	0.00								
G1 Execution	12	1.70	2.40	11.3	0.51	0.00	,		,		,	,	'	
Services,														
LLC(ETMM)	g	1.33	1.86	7.69	0.31	0.00		0 0	0		0			0 0
UBS Securities, LLC(UBSS)		1.18	3 2.17	7 0.00	0.31	0.00		0 (0	() (0 0

August 2021

Non-S&P 500 Stocks

Summary

Total No Orders	on-Directed			Marketable Limit Orders as % of Non-		Other Orders as % of Non-Directed Orders
	1,403	100.00	81.40	4.78	11.33	2.49

Venues															
Venue - Non- directed Order Flow	Non-Directed Orders		on-Directed rders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD	Market Orders(cents per	Net Payment Paid/Received for Marketable Limit	Marketable Limit Orders(cents per	Net Payment Paid/Received for Non-Marketable		Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities															
LLC(CDRG)	6	620	31.75	48.86	6 47.1	4 3.73	6.82	2	0			0			0
VIRTU Americas,															
LLC(NITE)	3	366	18.74	28.02	31.4	1.00	38.64	1	0			0	0		0
New York Stock															
Exchange(NYSE)	3	333	17.05	0.00	0.0	0 47.78	0.00		0)	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)		181	9.27	14.27	7 14.2	9 1.15	5 0.00)	0 () (() (0 (0 0
NASDAQ Execution Services, LLC(NSDQ)		168	8.60	0.00	0.0	0 24.10	0.00		0)			0 0
Cboe EDGX US														-	
Equities															
Exchange(EDGX)	1	104	5.33	0.00	0.0	0 14.92	0.00		0						0
Two Sigma Securities,															
LLC(SOHO)		53	2.71	4.12					0 (0	(0	0	0	0
NYSE Arca(ARCA)		48	2.46	0.00	0.0	0 6.89	0.00)	0	0	() (0	0	0 0
G1 Execution Services,															
LLC(ETMM)		42	2.15	3.50	1.4	3 0.14	0.00		0			0	0		0
UBS Securities,															
LLC(UBSS)		29	1.48	1.23	0.0	0.00	34.09	9	0	0)	0	0	0	0

August 2021

Option Contracts

Summary

				Non-Marketable	
	Non-Directed	Market Orders as %	Marketable Limit	Limit Orders as % of	Other Orders as %
Total Non-Directed	Orders as % of All	of Non-Directed	Orders as % of Non-	Non-Directed	of Non-Directed
Orders	Orders	Orders	Directed Orders	Orders	Orders
46	100.00	71.74	2.17	26.09	0.00

Venues														
Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders(%)		Non-Marketable Limit Orders(%)		Net Payment Paid/Received for Market Orders(USD	Market Orders(cents per	Net Payment Paid/Received for Marketable Limit	Marketable Limit Orders(cents per	Net Payment Paid/Received for Non-Marketable	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities														
LLC(CDRG)	23	50.00	48.48	100.00	50.00	0.00)	0	0	0	0	0	0	0
Susquehanna														
Capital														
Group(SUSQ)	11	23.91	. 33.33	3 0.00	0.00	0.00	(0 (0	0	0	0	0	0
Wolverine Execution Services														
LLC(WEXX)	6	13.04	6.06	0.00	33.33	0.00	(0 0	0	0	0	0	0	0
Dash Financial Technologies	_	40.07			46.67								_	
LLC(DASH)	5	10.87	9.09	9 0.00	16.67	0.00	(uj (0	0	0	0	0	0
Morgan Stanley & Company														
LLC(MSCO)	1	2.17	3.03	0.00	0.00	0.00		0	0	0	0	0	0	0

September 2021

S&P 500 Stocks

Summary

				Non-Marketable	
	Non-Directed	Market Orders as %	Marketable Limit	Limit Orders as % of	Other Orders as %
Total Non-Directed	Orders as % of All	of Non-Directed	Orders as % of Non-	Non-Directed	of Non-Directed
Orders	Orders	Orders	Directed Orders	Orders	Orders
573	100.00	88.83	3.49	4.54	3.14

Venues	iues													
Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD	Market Orders(cents per	Paid/Received for Marketable Limit	Marketable Limit Orders(cents per	Net Payment Paid/Received for Non-Marketable			Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities														
LLC(CDRG)	273	44.98	48.53	61.90	13.56	27.78	3	0		C	0			0
VIRTU Americas,														
LLC(NITE)	169	27.84	29.86	5 14.29	1.69	72.22		0		C	0			0
JANE STREET CAPITAL, LLC(JNST)	78	12.85	5 14.73	9.52	2 1.69	0.00		0 0) (C	0) () (0
NASDAQ Execution Services, LLC(NSDQ)	23	3.79	0.00	3 4.70	5 37.29	0.00		0 0) (C	0) () (0
New York Stock Exchange(NYSE)	20	3.29	0.00	0.00	33.90	0.00		0 (C	0) () (0
Two Sigma Securities, LLC(SOHO)	20	3.29	3.54	4.70	5 1.69	0.00		0 0		C	0			0
G1 Execution Services, LLC(ETMM)	14	2.31	1 2.55	5 4.70	5 0.00	0.00		0 0) (C	0)	0
Cboe EDGX US Equities Exchange(EDGX)	6	0.99						0		C	0			
UBS Securities, LLC(UBSS)	4	0.66						0 0) (C	0) ()	0

September 2021

Non-S&P 500 Stocks

Summary

Total Non-Directed	Orders as % of All		Marketable Limit Orders as % of Non-		Other Orders as % of Non-Directed Orders
1,548	100.00	88.18	3.55	4.59	3.68

Venues															
Venue - Non- directed Order Flow	Non-Directed Orders		on-Directed ders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment	Market Orders(cents per	Net Payment Paid/Received for Marketable Limit Orders(USD)	Marketable Limit Orders(cents per	Paid/Received for Non-Marketable		Net Payment Paid/Received for	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities															
LLC(CDRG)		743	44.68	52.09	46.30	1.70	5.88	S C)		o c)	0	0	0
VIRTU Americas,															
LLC(NITE)		394	23.69	25.42	22.22	0.57	50.00	0) (0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)		229	13.77	15.90	18.52	1.14	0.00						0		
CALITAL, LLC(3N31)		223	13.77	15.50	10.52	1.17	0.00	,	,	<u>'</u>		,	,		Ŭ
NASDAQ Execution Services, LLC(NSDQ)		76	4.57	0.00	0.00	43.18	0.00) c)	0) c) (0	0	0
Cboe EDGX US															
Equities															
Exchange(EDGX)		47	2.83	0.00	0.00	26.70	0.00	0) (0	0	0	0	0
Two Sigma															
Securities,															
LLC(SOHO)		47	2.83	3.15	7.41	0.00	0.00	0) ()	0 0	0	0	0	0
G1 Execution															
Services,		40	2.52												
LLC(ETMM)	<u> </u>	42	2.53	2.86	5.56	0.00	0.00) <u>C</u>) ()	oj C	(0	0	0
New York Stock Exchange(NYSE)		40	2.41	0.00	0.00	22.73	0.00								
UBS Securities,		40	2.41	0.00	0.00	22.73	0.00	,	,	/ 	U U		,	<u> </u>	0
LLC(UBSS)		27	1.62	0.59	0.00	0.00	27.94								0
Instinet			1.02	0.55	0.00	0.00	27.3-			1			1		i i
BlockCross(BLKX)		11	0.66	0.00	0.00	0.00	16.18	3 C			o		0	0	0

September 2021

Option Contracts

Summary

				Non-Marketable	
	Non-Directed	Market Orders as %	Marketable Limit	Limit Orders as % of	Other Orders as %
Total Non-Directed	Orders as % of All	of Non-Directed	Orders as % of Non-	Non-Directed	of Non-Directed
Orders	Orders	Orders	Directed Orders	Orders	Orders
93	100.00	79.57	4.30	16.13	0.00

venues														
Venue - Non- directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders(%)		Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD	Market Orders(cents per	Net Payment Paid/Received for Marketable Limit	Marketable Limit Orders(cents per	Net Payment Paid/Received for Non-Marketable	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities														
LLC(CDRG)	50	53.19	9 50.00	100.00	56.25	0.00		0	0	C	0	0	0	0
Susquehanna														
Capital														
Group(SUSQ)	28	3 29.79	9 36.49	0.00	6.25	0.00		0	0	C	0	0	0	0
Dash Financial														
Technologies														
LLC(DASH)	8	8.5	1 8.11	0.00	12.50	0.00)	0 (0	С	0	0	0	0
Wolverine Execution Services LLC(WEXX)	5	5.3:	2 1.35	5 0.00	0 25.00	0.00		0	0	C		0	0	0
Morgan Stanley &				1										
Company														
LLC(MSCO)	3	3.19	9 4.05	0.00	0.00	0.00		0	0	C	0	0	0	0