Rev. April 2022

FACTS	WHAT DOES SCF SECURITIES, INC. AND SCF INVESTMENT ADVISORS, INC. ("SCF") DO WITH YOUR PERSONAL INFORMATION?
WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
WHAT?	The types of personal information we collect, and share depend on the product or services you have with us. This information can include: ▪ ▪ Social Security number and income ▪ Assets and investment experience Account transactions and risk tolerance
HOW?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons SCF chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does SCF share?	Can you limit this sharing?
For our everyday business purposes – such as to process and report your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes – to offer our products and services to you	NO	We don't share
For joint marketing with other financial companies	YES	NO
For our affiliates' everyday business purposes – information about your transactions and experiences	YES	NO
For our affiliates' everyday business purposes – information about your creditworthiness	NO	We don't share
Questions	800-955-2517, or compliance@scfinc.com	

WHO WE ARE	
Who is providing this notice?	SCF Securities, Inc. and SCF Investment Advisors, Inc.
WHAT WE DO	
How does SCF protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Other measures we employ include: (a) information access controls; (b) service provider oversight and confidentiality agreements; (c) proper disposal of customer information; and (d) periodic security training for personnel.

How does SCF collect my personal information?	We collect your personal information, for example, when you: ▪ Open an account or seek advice about your investments ▪ Enter into an investment advisory contract ▪ Tell us about your investment or retirement portfolio ▪ Direct us to buy securities or to sell your securities We also collect your personal information from other companies.
Whycan'tI limitall sharing?	Federal law gives you the right to limit only: ▪ Sharing for affiliates' everyday business purposes — information about your creditworthiness ▪ Affiliates from using your information to market to you ▪ Sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

DEFINITIONS	
Affiliates	Companies related by common ownership or control. Our affiliates include: CUSO Financial Services, LP, CFS Insurance & Technology Services, LLC, NEXT Financial Group, Inc. Cadaret, Grant & Co., Inc. Cadaret Grant Agency, Western International Securities, and Atria Wealth Solutions.
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. Your Representative's new firm if he or she leaves SCF and third-party investment companies you have authorized.
Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you.

OTHER IMPORTANT INFORMATION

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We also comply with more restrictive state laws to the extent that they apply. For example, if you are a customer or former customer and the mailing address for your account is in California, New Mexico, North Dakota, or Vermont, we will not disclose any nonpublic personal information about you to anyone, except as permitted by law, unless you authorize us to make those disclosures.

For clients of a Representative who followed the Representative to SCF from another firm, if your Representative leaves SCF, and if agreed to by SCF, they may retain copies of your personal information to continue to serve you at the new firm. As a result, the Representative may share your information with the new firm but is otherwise required to keep confidential the personal information obtained from you while the Representative was affiliated with SCF and may use such information only to service your account(s). Other than as required by law, if you do not want us to share information with third parties, such as your Representative's new firm, please direct your request in writing, including your name and account number, to: Compliance Admin, SCF Securities, Inc. 10150 Meanley Drive First Floor San Diego, CA. 92131-3008